Complaint Data for Investment Advisers

A. Data for the month ending – February 2024

Sr. No.	Investor Complaints Received from	Pending at the end of the last month	Received	Resolved *		Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	N.A.		Nil	Nil	-
2	SEBI (SCORES)	Nil	Nil	N.A.		Nil	Nil	-
3	Other Sources (if any)	Nil	Nil	N.A.		Nil	Nil	-
Grand Total		Nil	Nil	Nil		Nil	Nil	N.A.

Note;
* Inclusive of complaints of previous months resolved in the current month.
# Inclusive of complaints pending as on the last day of the month
^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

B. **Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2023	Nil	Nil	Nil	Nil
2	May 2023	Nil	Nil	Nil	Nil
3	June 2023	Nil	Nil	Nil	Nil
4	July 2023	Nil	Nil	Nil	Nil
5	August 2023	Nil	Nil	Nil	Nil
6	September 2023	Nil	Nil	Nil	Nil
7	October 2023	Nil	Nil	Nil	Nil
8	November 2023	Nil	Nil	Nil	Nil
9	December2023	Nil	Nil	Nil	Nil
10	January 2024	Nil	Nil	Nil	Nil
11	February 2024	Nil	Nil	Nil	Nil

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month.

C. **Trend of annual disposal of complaints**

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2020-21	N.A.	N.A.	N.A.	N.A.
2	2021-22	N.A.	N.A.	N.A.	N.A.
3	2022-23	Nil	Nil	N.A.	N.A.
Grand Total		**Nil**	**Nil**	**N.A.**	**N.A.**

*Inclusive of complaints of previous years resolved in the current year.
#Inclusive of complaints pending as on the last day of the year.