

**Complaint Data for Investment Advisers**

**A. Data for the month ending – June 2025**

| Sr. No.            | Investor Complaints Received from | Pending at the end of the last month | Received | Resolved * |  | Total Pending # | Pending complaints > 3months | Average Resolution time^ (in days) |
|--------------------|-----------------------------------|--------------------------------------|----------|------------|--|-----------------|------------------------------|------------------------------------|
| 1                  | Directly from Investors           | Nil                                  | Nil      | N.A.       |  | Nil             | Nil                          | -                                  |
| 2                  | SEBI (SCORES)                     | Nil                                  | Nil      | N.A.       |  | Nil             | Nil                          | -                                  |
| 3                  | Other Sources (if any)            | Nil                                  | Nil      | N.A.       |  | Nil             | Nil                          | -                                  |
| <b>Grand Total</b> |                                   | Nil                                  | Nil      | Nil        |  | Nil             | Nil                          | N.A.                               |

Note;

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### B. Trend of monthly disposal of complaints

| Sr. No. | Month        | Carried forward from previous month | Received | Resolved* | Pending# |
|---------|--------------|-------------------------------------|----------|-----------|----------|
| 1       | July 2024    | Nil                                 | Nil      | Nil       | Nil      |
| 2       | Aug 2024     | Nil                                 | Nil      | Nil       | Nil      |
| 3       | Sep 2024     | Nil                                 | Nil      | Nil       | Nil      |
| 4       | Oct 2024     | Nil                                 | Nil      | Nil       | Nil      |
| 5       | Nov 2024     | Nil                                 | Nil      | Nil       | Nil      |
| 6       | Dec 2024     | Nil                                 | Nil      | Nil       | Nil      |
| 7       | January 2025 | Nil                                 | Nil      | Nil       | Nil      |
| 8       | February2025 | Nil                                 | Nil      | Nil       | Nil      |
| 9       | March 2025   | Nil                                 | Nil      | Nil       | Nil      |
| 10      | April 2025   | Nil                                 | Nil      | Nil       | Nil      |
| 11      | May 2025     | Nil                                 | Nil      | Nil       | Nil      |
| 12      | June 2025    | Nil                                 | Nil      | Nil       | Nil      |

#Inclusive of complaints pending as on the last day of the month.

### C. Trend of annual disposal of complaints

| Sr. No.     | Year    | Carried forward from previous year | Received | Resolved* | Pending# |
|-------------|---------|------------------------------------|----------|-----------|----------|
| 1           | 2020-21 | N.A.                               | N.A.     | N.A.      | N.A.     |
| 2           | 2021-22 | N.A.                               | N.A.     | N.A.      | N.A.     |
| 3           | 2022-23 | Nil                                | Nil      | N.A.      | N.A.     |
| 4           | 2023-24 | Nil                                | Nil      | N.A.      | N.A.     |
| 5           | 2024-25 | Nil                                | Nil      | N.A       | N.A      |
| Grand Total |         | Nil                                | Nil      | N.A.      | N.A.     |

\*Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year.